REQUEST FOR QUALIFICATIONS (RFQ)

CITY OF GREENSBORO, NORTH CAROLINA
WATER RESOURCES DEPARTMENT – T.Z. OSBORNE WRF

WRF EXPANSION – PACKAGE 2
2011-038A

SOLICITATION

Hazen and Sawyer, P.C. will assume the role of Owner's engineering representative for the purpose of analyzing all submitted qualifications and providing the City of Greensboro with tabulated data and a recommendation regarding contractor selection.

Sealed Applications for Prequalification of Bidders will be received by Hazen and Sawyer, P.C. at 4011 WestChase Boulevard, Suite 500, Raleigh, North Carolina 27607, until 2:00 p.m. local prevailing time on the 8th of May, 2014.

Applications for Prequalification of Bidders may be obtained at the office of Hazen and Sawyer, P.C., located at 4011 WestChase Boulevard, Suite 500, Raleigh, North Carolina 27607, telephone number (919) 833-7152, upon payment of $75.00 (non-refundable, includes ground shipping and handling) for each set of documents so obtained. Each request must be accompanied by a check made payable to Hazen and Sawyer, P.C. Application includes this document and 90% complete Contract Documents for Package 2 in PDF format on CD. Neither the Owner nor the Engineer will be responsible for full or partial sets of Applications for Prequalification of Bidders, including any Addendum obtained from any other source. Any questions regarding this Solicitation shall be directed to Ms. Lamya Badr, P.E., Hazen and Sawyer, P.C., 4011 WestChase Boulevard, Suite 500, Raleigh, NC 27607 (919-863-9263).

The prequalification process will cover a Single Prime Contract for the WRF Expansion Project at the TZ Osborne WRF, 2350 Huffine Mill Road, McLeansville, NC 27301. Major work included in Package 2 is as follows:

> This contract includes the replacement of 2 existing blowers for process aeration and the modification of existing fine bubble diffusers in the existing aeration basins. This contract will also include modification of the existing secondary clarifier distribution channel, as well as adding 3 new 160-foot secondary clarifiers, each with a vertical wet well chopper scum pump. Repairs to the existing RAS and WAS system will include replacement of the RAS pumps in RAS Pump Station 1, replacement of the North Buffalo Transfer Pump with a new WAS pump, adding a new RAS pump in RAS Pump Station 3, and adding a new RAS pump station with three new RAS pumps. The new RAS Pump Station will also house the new secondary clarifier drain pump. Hydraulic improvements include the addition of a primary clarifier bypass pipeline. Other improvements include a new electrical room to house switchgear in RAS Pump Station 4.

The design engineers are Hazen and Sawyer, P.C.

Any firm that wishes to visit the TZ Osborne WRF is requested to contact Lori Cooper at (336) 373-4502 or Lori.Cooper@greensboro-nc.gov at least 2 business days prior to visiting and sign

in at the administration building when visiting the facility.

Package 2 will be bid as a single prime contract in accordance with North Carolina General Statutes and is anticipated to be bid in the third quarter of 2014. Construction cost for the contract is anticipated to be in the $30 million range. Contract time is anticipated to be 840 consecutive calendar days.

At the time, date and place above, Qualifications will be opened. The opening will not be public.

The City will not accept bids for this construction contract from Contractors who fail to submit timely applications for prequalification and from Contractors who fail to meet prequalification requirements.

WATER RESOURCES
GREENSBORO, NORTH CAROLINA

GENERAL

The Project is located at the T.Z. Osborne WRF in McLeansville, North Carolina and is briefly described in the Solicitation. Close coordination of construction activities with the City of Greensboro's operating staff and other Contractors at the plant site will be required. The successful bidder(s) will be required to furnish all labor, materials, equipment, tools, services and incidentals to complete the Work in accordance with the Specifications and Drawings.

The City shall not be responsible for any cost incurred by applicants because of participation in this prequalification process. Each applicant shall bear his/her own expense in connection with the preparation and submission of materials and the provision of any supplemental information requested. The City shall have no liability for cost incurred by applicants in connection with the review and evaluation of prequalification materials and any findings and determinations made there from. This is **not** a solicitation for bid. All materials and information submitted during the prequalification process will not be returned to the applicant. It will instead become the confidential property of Hazen and Sawyer to be shared whole or in part with key City staff only.

A decision by the City to prequalify or not to prequalify an applicant is final. The decision to prequalify an applicant shall not constitute a determination that the applicant is responsible, and such applicant may be subsequently rejected as non-responsible on the basis of subsequently discovered information. The engineer's recommendation and the City's action in rejecting an applicant as non-qualified in this regard is final.

APPLICATION REQUIREMENTS

The applicant must complete and submit an original and three (3) copies (for a total of four sets) of the application and all associated forms and attachments, which together comprise the Application for Bidder Prequalification (referred to herein as the "application" or "submittal"). The application shall be signed where indicated and submitted in a sealed envelope to Hazen and Sawyer. The applicant's name and the project name (TZ Osborne WRF Expansion; 2011-038A) should be clearly displayed on the outside of the envelope.

The time and date of receipt shall be indicated on the sealed envelope by Hazen and Sawyer. Timely submission is the sole responsibility of the applicant. Faxed copies and responses received after the specified time will not be considered.

Responses to the RFQ must be typed or neatly printed. The information presented should be clear, complete, concise and not misleading. All attachments submitted shall be identified with the name of the applicant. Failure to submit a response on the official forms provided for that purpose may be considered just cause for rejection of the response. Modification of any portion of the solicitation may be cause for rejection of the response. The City reserves the right to decide, on a case-by-case basis, at its sole discretion, whether to reject such an application as non-responsive based on the professional recommendation of Hazen and Sawyer, P.C.

CONFIDENTIAL / PROPRIETARY INFORMATION

Submitters should give specific attention to the identification of those portions of their Statement of Qualifications, which they deem to be confidential, proprietary information or trade secrets, and provide any justification of why such materials, upon request, should not be disclosed by the Owner under North Carolina public records laws. Submitters must clearly indicate each and every section that is deemed confidential, proprietary or a trade secret as required by

statute. It is NOT sufficient to preface your entire Statement of Qualifications with a proprietary statement.

If the Owner determines that a document that the Contractor has designated "confidential" or "trade secret" is not entitled to protection from public disclosure, the Owner will provide notice of that determination to the contact person designated by the Contractor, in any reasonable manner that the Owner can provide such notice, at least five business days prior to its public disclosure of the document. If the contractor does not designate anyone to receive such notice the Owner will not have any obligation to provide any notice of a determination of non-confidentiality. If the Contractor does not designate anyone to receive such notice, or if, within five business days after the designated person receives such notice, the Contractor does not initiate judicial proceedings to protect the confidentiality of the document, the Owner will not have any obligation to withhold the document from public disclosure.

By submitting to the Owner a document that the Contractor designates as "confidential" or "trade secret", the Contractor agrees that in the event a third party brings any action against the Owner of any of its officials or employees to obtain disclosure of the document, the Contractor will indemnify and hold harmless the Owner and each organization's affected officials and employees from all costs, including attorney's fees incurred by or assessed against any defendant, of defending against such action. The Contractor also agrees that at the Owner's request the Contractor will intervene in any such action and assume all responsibility for defending against it, and that the Contractor's failure to do so will relieve the Owner of all further obligations to protect the confidentiality of the document.

EVALUATION

The City reserves the right to waive any and all irregularities or informalities in the submittal, to reject any and all applications, and to accept the application(s) most favorable to the City. Written notification of each applicant's prequalification status will be provided within 45 days of the submission deadline. Hazen and Sawyer, P.C. will evaluate submittals using the established scoring methodology and provide the City with spreadsheet documentation and summaries of the information. Hazen and Sawyer, P.C. will rate and select qualified contractors with input from the City and CDM Smith, by way of illustration and not limitation, the criteria included in this section.

A. NON-POINT RATING ITEMS

An unsatisfactory rating on any item in the category titled "non-point rating items" will be considered sufficient cause to determine that an applicant is not qualified to bid. The following are non-point criteria:

1. **Responsiveness to RFQ** – Only responsive applications will be considered and evaluated. A responsive application must be completed according to the instructions, include all required attachments and requested information and is comprised of, but is not limited to the following:

    - Application For Bidder Prequalification
    - Attachment A: Applicant Information
    - Attachment B: Details Of Past Projects
    - Attachment C: MWBE Participation and Programs
    - Attachment D: Applicant Affidavit

- All Additional information as needed to provide a complete response to the RFQ

2. **Debarment Status** – By submitting an application, the Applicant certifies that neither it nor any affiliated entity is currently debarred from submitting bids or has otherwise agreed not to submit bids on contracts with any government or business entity. If the applicant experiences a material change in its debarment status after the application is submitted and prior to the award of the contract for the project, the applicant shall notify the City of the change in writing at the time the change occurs or as soon thereafter as is reasonably practicable. If at any time during the evaluation process the applicant is debarred as described above, it will be considered grounds for automatic disqualification.

3. **Contractor's License** – The applicant must provide a copy of their North Carolina Contractors License, or provide a statement indicating that they are able to acquire one in a timely fashion consistent with the project schedule.

4. **Bonding Capacity/Statement** – Applicants must provide a signed statement from its Surety stating that, based on present circumstances, the surety will be willing to provide bid, performance and payment bonds for the applicant in connection with the Project.

5. **Minimum Experience for Contract** – The minimum experience requirement for prequalification on Construction Contract 2 is successful completion of at least five water or wastewater treatment plant upgrade or expansion projects each having a contract value in excess of $25 million in the last ten years.

B. <u>POINT-RATING ITEMS</u>

The applicant must achieve a minimum total score of 85% on the "point-rating items" in the evaluation summary to be prequalified. In considering a prospective bidder for prequalification, Hazen and Sawyer, P.C. in conjunction with the City and CDM Smith shall be the sole judge of the applicant's financial soundness, history of satisfactory project performance, whether or not the applicant possesses a sufficient number of experienced qualified personnel at its management and supervisory level and has demonstrated a commitment on its projects to accommodating changes and disruptions in the work, all of which indicate the ability to successfully complete the Project in accordance with the Project schedule and budget.

1. **Project Performance** – During evaluation of project performance, emphasis will be placed on past performance on City projects and other recent projects of a similar size and nature to the TZ Osborne WRF Expansion Project, including applicant's ability to meet scheduled completion dates.

2. **Personnel Qualifications/Experience** – The designated project manager and superintendent must have experience on projects of similar size and scope. Applicant must dedicate the proposed personnel to the project and may not make changes without written approval from the City. The qualifications of other personnel will also be considered in this evaluation.

3. **References** – Hazen and Sawyer, P.C., on behalf of the City, intends to contact references listed in the application regarding the point-rating items B.1, B.2, B.3, B.5 and B.6 listed in the Evaluation Summary, and may contact other potential references if referred to them in the course of this evaluation. The City reserves the right to contact any party it deems appropriate and by submitting a response to this RFQ, the contractor releases the City and any references from all liability concerning this exchange of information. Applicants should ensure that the reference information

provided is current. Letters of reference shall NOT be included in the response to this RFQ, since such letters do not follow a standard objective format.

4. **Financial Data** – Financial data will be reviewed and compared to industry standards.
5. **Safety Performance** – Safety data will be reviewed and compared to industry standards.
6. **Claims/Final Resolution/Judgments** – Evaluation of this data will be based on the number of affirmative answers to the questions and the details provided in explanation for each occurrence.
7. **Failure to Complete - Applicant** – Evaluation of the applicant's failure to complete projects will primarily be based on the number of occurrences and the explanations for the failure to complete in conjunction with the references on those projects.
8. **Failure to Complete - Partner/Officer** – Evaluation of the applicant's partners and/or officers to complete projects will be primarily based on the number of occurrences, the explanations for the failure to complete in conjunction with the references on those projects.
9. **Minority/Women Business Enterprise** – Evaluation of the applicant's past work in working with M/WBE subcontractors. Applicant shall read and be familiar with the City's Minority and Women's Business Enterprise Program Plan for construction, professional services, goods and other services, available at: http://www.greensboro-nc.gov/index.aspx?page=448.
10. **Other Relevant Criteria** – Any other relevant criteria deemed to be in the best interest of the City may be evaluated in determining whether or not to accept an applicant's submission.

MINORITY PARTICIPATION

The City's policy is to encourage Bidders to use minority and women-owned businesses as subcontractors. Contractors will be evaluated based on their history with M/WBE firms and participation in the City's Mentor/Protégé Program as described in the M/WBE Program Plan. Additional information on the City's Mentor/Protégé Program and local M/WBE Firms may be obtained by contacting Evon J. Smith, President of Sustainable Community Resources (SCR), at (336) 252-8892.

As a non-evaluated item, each applicant will be asked to examine the provided 90% Complete Contract Documents and provide an estimate of potential M/WBE participation for the construction project. Following shortlisting of Prime Contractors, a mandatory workshop will be held by the City of Greensboro and engineers of record to assist relationship building between potential HUB certified M/WBE subcontracting firms and Prime Contractors.

PROCUREMENT LAW

Prequalification and bidding procedures will be governed by, and administered in accordance with, applicable law in the jurisdiction of North Carolina.

ADDENDA AND INTERPRETATIONS

All requests for interpretation of the RFQ and the associated application and attachments must be made in writing to Hazen and Sawyer. Such requests will be addressed to Ms. Lamya Badr,

Hazen and Sawyer, 4011 WestChase Blvd., Suite 500, Raleigh, North Carolina 27607. Requests can be made by email at: lbadr@hazenandsawyer.com. To be given consideration, such requests must be received at least 7 calendar days prior to the date fixed for submittal of the application. Any and all such interpretations and any supplemental instructions or changes will be in the form of written addenda which, if issued, will be sent to all prospective applicants at the addresses furnished for such purposes, not later than 3 days prior to the date fixed for submittal of the application. Failure of any applicant to receive any such addenda shall not relieve such applicant from any obligation under its application as submitted. All addenda so issued shall become part of the RFQ and must be signed by all applicants and returned to the Hazen and Sawyer with the application.

NOTICE OF SUBSTANTIAL CHANGES

If the applicant experiences a material change in its debarment status, financial condition, corporate structure or personnel after the application is submitted and prior to the award of the contract for the project, the applicant shall notify the City and Hazen and Sawyer of the change in writing at the time the change occurs or as soon thereafter as is reasonably practicable.

Failure to notify the Water Resources Department of the City of Greensboro and Hazen and Sawyer of any material change in the applicant's debarment status, financial condition, corporate structure or personnel may constitute grounds for rescinding a "qualified to bid" rating or for rejection of a bid.

MISREPRESENTATION

If any applicant knowingly makes a misrepresentation in submitting information to the Hazen and Sawyer or the City, or fails to provide all required information, or provides information that is misleading, such misrepresentation, omission or misleading information will be sufficient grounds for rescinding a "qualified to bid" rating or for rejection of a bid submitted as a result of this prequalification.

COLLUSION AMONG APPLICANTS

More than one response from an individual, firm, partnership, corporation, or association under the same or different name will be rejected. Any or all responses will be rejected if there is any reason for believing that collusion exists among the applicants. Participants in such collusion may not be considered in future bids for the same work. Each prospective Bidder, by submitting a response, certifies that they are not a party to any collusive action or to any action that is otherwise unlawful. Nothing in this section will preclude a firm acting as a subcontractor to be included as a subcontractor for two or more prime contractors submitting a response for the Work.

EVALUATION SUMMARY

Applicant Name: _____

A. NON-POINT RATING ITEMS

CATEGORY	SATISFACTORY	UNSATISFACTORY
1. Responsiveness to RFQ	_____	_____
2. Debarment Status	_____	_____
3. Contractor's License	_____	_____
4. Bonding Capacity/Statement	_____	_____
5. Min Water / WW Experience (Package 2)	_____	_____

B. POINT-RATING ITEMS	**WEIGHT**	**x**	**GRADE**	**=**	**TOTAL**
1. Project Performance	5		0 1 2 3 4 5		_____
2. Personnel Qualifications/Experience	5		0 1 2 3 4 5		_____
3. References					
a. Designers/Construction Managers	4		0 1 2 3 4 5		_____
b. Owners					
(1) Adherence to Schedule	2		0 1 2 3 4 5		_____
(2) Quality of Completed Work	3		0 1 2 3 4 5		_____
(3) Overall (cooperation/coordination)	2		0 1 2 3 4 5		_____
4. Financial Data	4		0 1 2 3 4 5		_____
5 Safety Performance	5		0 1 2 3 4 5		_____
6. Claims/Final Resolution/Judgments	4		0 1 2 3 4 5		_____
7. Failure to Complete - Applicant	4		0 1 2 3 4 5		_____
8. Failure to Complete - Partner/Officer	2		0 1 2 3 4 5		_____
9. Minority/Women Business Enterprise	5		0 1 2 3 4 5		_____
			TOTAL SCORE:		_____
Extra Credit: Anticipated MWBE Participation	5		0 1 2 3 4 5		_____
			GRAND TOTAL SCORE:		_____

GRADING SCALE
0 = Unfavorable, 1 = Questionable, 2 = Below Average, 3 = Average, 4 = Above Average, 5 = Most Favorable

_____ ÷ **225** = _____**%**
Total Score Possible Score Overall Grade

APPLICATION FOR BIDDER PREQUALIFICATION

A copy of this page shall be the cover page for each set. A set of attachments and any additional information should be included with each set.

1. Applicant Name:_____

   Provide all names under which the applicant does business:

   _____

   _____

   _____

   Is the applicant related to another firm as a parent, subsidiary, or affiliate? Yes___ No___

   If yes, attach names and addresses for all affiliated, parent and/or subsidiary companies, and state the nature of each affiliation.

2. Address: _____

   _____

   _____

3. Tax Identification Number (EIN/SSN):_____

4. Is applicant a corporation? Yes_____, No_____

   If yes, what is the State of incorporation? _____

5. If not incorporated, specify method and date of organization: _____

   _____

   If a partnership, attach partnership details (such as partner's names and individual contact information for each partner). If a Joint Venture (JV), attach the JV agreement and provide details of the intended role of each JV member, including appropriate additional attachments.

6. HUB Certification Status: _____
   Provide, as attachment, documentation to support status.

7. Specify the portions of the Work, that the applicant expects to subcontract:

   _____

   _____

   _____

8. Provide contact information including name, title, phone number and email address of the person who can respond authoritatively to any questions regarding this response:

   _____

   _____

Signed by: _____

Printed name and title: _____

ATTACHMENT A
APPLICANT INFORMATION

A. NON-POINT RATING ITEMS

1. **Responsiveness to RFQ** – Responsiveness is defined in the RFQ section titled Evaluation.

2. **Debarment Status** – Has the applicant, or any affiliate, ever been the subject of any of the following actions:

   a. Debarment.....Yes___ No___
   b. Deletion from a Prequalified Bidders List.....Yes___ No___
   c. Other action which resembles debarment.....Yes___ No___

   If yes, provide details on a separate sheet for each instance.

3. **Contractor's License** – Attach a copy of the Applicant's North Carolina General Contractors License, or attach a statement about Applicant's ability to acquire one in a timely fashion consistent with the project schedule.

4. **Bonding Capacity/Statement** – Attach a signed statement from Applicant's Surety stating that, based on present circumstances, the surety will be willing to provide bid, performance and payment bonds for the applicant in connection with the Project.

   Total bonding capacity $_____

   Available bonding capacity $_____

5. **Minimum Water/Wastewater Experience** – Provide on Attachment B.

B. POINT RATING ITEMS

1. **Project Performance**

   a. Using a separate copy of Attachment B for each project, provide details of five or more projects that are most similar in size and scope to the TZ Osborne WRF Expansion Project. Attach a list of all projects with a contract value greater than twenty-five (25) million dollars over the last ten (10) years. Include the following data: project name, owner, engineer and/or construction manager, completion date, percent of work performed by your own forces, original and final contract values. Details on Owner-requested change orders may be submitted on a separate sheet.

ATTACHMENT A
(Cont'd)

2. **Personnel Qualifications/Experience** – Submit a copy of the applicant's corporate organizational chart. Provide the quantity of employees identified by discipline and project with names and titles down through field superintendents. Provide proposed project organizational chart and attach resumes of key personnel. Provide detailed resumes for the Project Managers and Superintendents proposed for Contract 2. Emphasize years of construction experience, last employer, last position, and experience on similar projects.

3. **References** – Reference information is addressed on Attachment B.

4. **Financial Data**

   a. Submit your organization's most recent audited financial statements for a three-year period. Complete balance sheets and income statements must be included. The statements shall be enclosed in a separate sealed envelope and included in the application package. Data provided for parent or child entities related to the applicant firm in lieu of the applicant's data shall not be reviewed and shall be considered non-responsive.

   b. Has the applicant, or any affiliate, ever been denied bonding or had bonding revoked? Yes___ No___

   If yes, provide details on a separate sheet for each instance.

5. **Safety Performance** – On a separate sheet provide the following:

   a. Experience Modification Factor (EMF) with 3-year and 5-year trends
   b. Loss Ratio with 3-year and 5-year trends
   c. Accident Frequency Rate with 3-year and 5-year trends
   d. A list of OSHA citations levied during the past five years. Describe the infractions and indicate whether there was a warning or fine imposed and the dollar amount of each.
   e. Details from your organization's 2002 OSHA 200 log indicating:
      - Number of lost workday cases
      - Number of restricted workday cases
      - Number of cases with medical attention only
      - Number of fatalities

6. **Claims/Final Resolution/Judgments** – Has any of the following actions occurred on, or in conjunction with, any project performed by the applicant, any affiliate, or their officers, partners or directors in the last five years?

   a. Legal Action Implemented by Contractor against Owner .....Yes___ No___
   b. Legal Action Implemented by Contractor against Subcontractor .....Yes___ No___
   c. Legal Action Implemented by Owner .....Yes___ No___
   d. Legal Action Implemented by Subcontractor .....Yes___ No___
   e. Settlement or Close Out Agreement in effect with Owner .....Yes___ No___
   f. Judgments .....Yes___ No___
   g. Arbitrations.....Yes___ No___
   h. Mediation Proceedings .....Yes___ No___

   If the answer to any of items a. through h. above is yes, provide details on a separate sheet for each instance.

ATTACHMENT A
(Cont'd)

7. **Failure to Complete - Applicant** – Has your organization ever failed to complete any work awarded to it? This includes termination for the convenience of the Owner or any other reason for failing to complete a project. Yes___ No___

   If yes, provide details on a separate sheet for each instance.

8. **Failure to Complete - Partner/Officer** – Has any officer or partner of your organization ever been an officer or partner of some other organization that failed to complete a construction contract or failed to complete a construction contract handled in his or her own name? This includes termination for the convenience of the Owner or any other reason for failing to complete a project.

   Yes___ No___

   If yes, provide details on a separate sheet for each instance.

9. **Minority/Women Business Enterprise** – Complete Attachment C, Items 1-5.

Extra Credit: **Anticipated MWBE Participation** – Complete Attachment C, Item 6.

ATTACHMENT B
DETAILS OF PAST PROJECTS

(Using a separate copy of this form for each project,
provide details of five or more projects that are most similar in size and scope to the
Contract being applied for.)

1. Contractor Name: _____

   If Contractor's Name is not the same as Applicant's name, state relationship (i.e. parent company, subsidiary, JV etc.): _____

   Project Manager: _____

   Superintendent: _____

2. Project Name: _____

   Facility Name: _____

   Project Location: _____

   _____

   Contract # _____ Project # _____

3. Owner: _____

   Address: _____

   _____

   _____

   Contact Person: _____

   Contact Title & Phone # _____ ( ) _____

4. Engineer: _____

   Address: _____

   _____

   _____

   Contact Person: _____

   Contact Title & Phone # _____ ( ) _____

5. Construction Manager (if any): _____

   Address: _____

   _____

   _____

   Contact Person: _____

   Contact Title & Phone # _____ ( ) _____

6. Contract Dates (completion dates should reflect substantial completion - if not indicate)

   Notice to Proceed: _____

   Contractual Completion: _____

   Actual Completion: _____

ATTACHMENT B
(Cont'd)

7. Description of Project: _____

_____

_____

_____

_____

_____

8. Original Contract Value: $_____

Final Contract Value: $_____

Value of Change Orders to Date: $_____

Outstanding Claims to Date: $_____

9. Bonding Company: _____

Address: _____

_____

_____

Contact Person: _____

Contact Title & Phone # _____ ( ) _____

10. List the five largest subcontractors on this project in terms of percentage of participation.

a. Subcontractor: _____

Trade: _____ Participation: _____%

Address: _____

_____

M/WBE Classification (if applicable): _____

Contact Person: _____

Contact Title & Phone # _____ ( ) _____

b. Subcontractor: _____

Trade: _____ Participation: _____%

Address: _____

_____

M/WBE Classification (if applicable): _____

Contact Person: _____

Contact Title & Phone # _____ ( ) _____

ATTACHMENT B
(Cont'd)

c. Subcontractor: _____
Trade: _____ Participation: _____%
Address: _____
_____
M/WBE Classification (if applicable): _____
Contact Person: _____
Contact Title & Phone # _____ ( ) _____

d. Subcontractor: _____
Trade: _____ Participation: _____%
Address: _____
_____
M/WBE Classification (if applicable): _____
Contact Person: _____
Contact Title & Phone # _____ ( ) _____

e. Subcontractor: _____
Trade: _____ Participation: _____%
Address: _____
_____
M/WBE Classification (if applicable): _____
Contact Person: _____
Contact Title & Phone # _____ ( ) _____

11. List all additional Minority/Women Business Enterprise subcontractors for each M/WBE subcontractor not listed above as a percentage of the project.

a. M/WBE Subcontractor: _____
Trade: _____ Participation: _____%
Address: _____
_____
M/WBE Classification: _____
Contact Person: _____
Contact Title & Phone # _____ ( ) _____

b. M/WBE Subcontractor: _____

Trade: _____ Participation: _____%
Address: _____
_____
M/WBE Classification: _____
Contact Person: _____
Contact Title & Phone # _____ ( ) _____

c. M/WBE Subcontractor: _____
Trade: _____ Participation: _____%
Address: _____
_____
M/WBE Classification: _____
Contact Person: _____
Contact Title & Phone # _____ ( ) _____

d. M/WBE Subcontractor: _____
Trade: _____ Participation: _____%
Address: _____
_____
M/WBE Classification: _____
Contact Person: _____
Contact Title & Phone # _____ ( ) _____

e. M/WBE Subcontractor: _____
Trade: _____ Participation: _____%
Address: _____
_____
M/WBE Classification: _____
Contact Person: _____
Contact Title & Phone # _____ ( ) _____

ATTACHMENT C

MWBE PARTICIPATION AND PROGRAMS, AND ESTIMATE

1. Acknowledge you have read the City's Minority and Women's Business Enterprise Program Plan for construction, professional services, goods and other services, available at: http://www.greensboro-nc.gov/index.aspx?page=448 and included in this package.

_____

_____

2. The City will utilize the City's Mentor/Protégé Program described on pages 22-23 of the M/WBE plan. Additional information and a listing of local M/WBE firms may be obtained by contacting Evon J. Smith, President of Sustainable Community Resources, at (336) 252-8892.

2A) Will your company participate in the City's Mentor/Protégé Program?

_____

2B) How will you participate in the Mentor/Protégé Program? Please use a separate sheet to detail your plan to incorporate the Mentor/Protégé Program into this project.

_____

2C) In what trades or areas would you consider this relationship? Do you have any candidate M/WBE firms you plan to consider?

_____

_____

_____

_____

_____

_____

_____

_____

_____

_____

2D) Have you participated in a similar program/relationship in the past? Where?

_____

_____

_____

_____

_____

_____

_____

_____

3. State your company's method of finding and retaining M/WBE subcontractors for competitively bid construction projects:

_____

_____

_____

_____

_____

_____

_____

_____

_____

4. Describe any special programs to retain M/WBE subcontractors and/or employees

_____
_____
_____
_____
_____
_____
_____
_____
_____

5. Has your company ever had a bid rejected for not meeting minority participation goals and good faith efforts? Circle one: Yes No
If yes, explain the circumstances on a separate sheet.

6. (NON BINDING)- List likely HUB certified M/WBE subcontracting trades and associated firms with potential participation percentages for the Bid Package and provide a total anticipated participation percentage based on the 90% Contract Documents provided as part of this RFQ. This is non-binding, but provides opportunity for extra points in this evaluation.

Package 2:

Trade: _____
Firm(s): _____Participation: _____%
Trade: _____
Firm(s): _____Participation: _____%
Trade: _____
Firm(s): _____Participation: _____%
Trade: _____
Firm(s): _____Participation: _____%
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Firm(s): _____Participation: _____%
Trade: _____
Firm(s): _____Participation: _____%
Trade: _____
Firm(s): _____Participation: _____%
Trade: _____
Firm(s): _____Participation: _____%

Total Maximum Package 2 Participation:_____%
Total Anticipated Package 2 Participation:_____%

ATTACHMENT D
APPLICANT AFFIDAVIT

The undersigned hereby attests under penalty of perjury and by personal knowledge to the following:

1. The contents of the Application for Bidder Prequalification (including all submitted attachments and other documentation) are true, correct and not misleading.

2. To the best of my knowledge neither the Applicant, nor its agents, affiliates, partners, employees, officers, directors or other associates of any kind, have colluded with any individual or entity on behalf of the Applicant, or themselves, to produce an unfair advantage over others or to gain favoritism in the award of any contract resulting from this RFQ.

3. By responding to this RFQ and submitting the Application for Bidder Prequalification (also referred to as the submittal), the Applicant agrees to indemnify and hold harmless all parties to this RFQ, including, but not limited to, the Owner and Engineers for any conceivable damages arising therefrom; and affirms that no compensation is expected as a result of the preparation of said response.

4. Applicant agrees to use the submitted personnel for the duration of this project. Any changes in the submitted personnel must be approved in writing by the City.

Applicant Name:_____

_____

Officer's Signature: _____

Printed name and title: _____

_____

Telephone No: _____

Affix Corporate Seal

Witnessed by: _____

Witness printed name and title: _____

_____

Date Signed: _____